

STATE

COUNTY Company Name:

IN THE MATTER OF:

AFFIDAVIT

The undersigned, being first duly sworn, deposes and says that:

1. I, the managing principal/qualifying individual, acting as the manager of the above referenced company applying for licensure under N. C. Gen. Stat. § 53-243.01 *et seq.* [(the Mortgage Lending Act (MLA)] have read and understand the provisions of [Declaratory Ruling 2003-01 - Mortgage Lending Act](#) dated November 6, 2003 and issued by the Commissioner of Banks.
2. On or about the date of this affidavit, the mortgage banker or broker with whom I (am) will be employed and responsible for managing has applied for licensure under the provisions of the MLA pursuant to N.C.G.S. 53-243.05.
3. I understand that once licensed the company will be expected and required to comply with the laws of North Carolina and the federal laws governing the mortgage lending business in the state and in particular any rulings issued by the Commissioner which affect mortgage lenders or brokers.
4. I further understand that I, as the responsible person overseeing the operations of this company within the state of North Carolina, will be personally responsible for ensuring that the company complies with the applicable laws and rules affecting the mortgage business.
5. I further understand that in the event that the company fails to comply with those statutes and rules, that in addition to the company losing its license to conduct business within the State, I may also lose my license, if applicable.

FURTHER, AFFIANT SAYETH NAUGHT:

This day of , 20 . (SEAL)

AFFIANT

_____ STATE, COUNTY

I certify that: personally appeared before me this day, and, after being duly sworn, acknowledged to me that he/she voluntarily signed the foregoing document for the purpose stated therein and in the capacity indicated above.

I have personal knowledge of the identity of the individual and the office he/she holds with the above named company.

Date: _____
Notary Public signature

My commission expires: _____